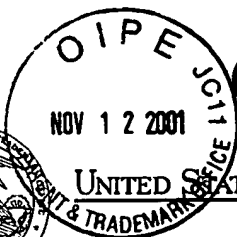


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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/844,700	04/27/2001	Arun Shah	68110328.710

CONFIRMATION NO. 9543

## FORMALITIES LETTER



\*OC000000006910553\*

Brian C. McCormack  
Baker & McKenzie  
2001 Ross Avenue, Suite 2300  
Dallas, TX 75201

Date Mailed: 10/15/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 09/25/2001 to the Notice to File Missing Parts (Notice) mailed 06/25/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

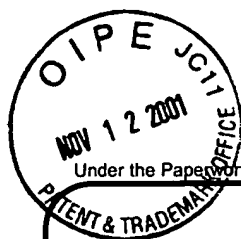
- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

N. H.  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



12-12-01

2171

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number

09/844,700

Filing Date

04/27/2001

First Named Inventor

Shah et al

Group Art Unit

2171

Examiner Name

Not yet assigned

Total Number of Pages in This Submission

12

Attorney Docket Number

68110328.710

**ENCLOSURES (check all that apply)**☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment / Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☐

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐Response to Missing Parts/  
Incomplete Application☐Response to Missing Parts  
under 37 CFR 1.52 or 1.53☐Assignment Papers  
(for an Application)☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐Petition to Convert to a  
Provisional Application☐Power of Attorney, Revocation  
Change of Correspondence  
Address☐

Terminal Disclaimer

☐

Request for Refund

☐

CD, Number of CD(s) \_\_\_\_\_

Remarks

☐After Allowance Communication  
to Group☐Appeal Communication to Board  
of Appeals and Interferences☐Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐

Proprietary Information

☐

Status Letter

☒Other Enclosure(s) (please  
identify below):Reply to Notice of  
Incomplete Reply

Postcard acknowledgement

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
or  
Individual name

Brian C. McCormack

Signature

*Brian C. McCormack*

Date

11/12/2001

**CERTIFICATE OF MAILING**

EL698811965US

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class EXPRESS mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

11/12/2001

Typed or printed name

Christi Pingleton

Signature

*Christi Pingleton*

Date

11/12/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<b>Applicant(s):</b> Shah et al	§	<b>Group Art No.:</b> 2171
	§	
<b>Serial No.:</b> 09/844,700	§	<b>Examiner:</b> Not Yet Assigned
	§	
<b>Filed:</b> April 27, 2001	§	<b>Atty. Docket No.:</b> 68110328.710
	§	
<b>For:</b> Aggregate Navigation System	§	

**REPLY TO NOTICE OF INCOMPLETE REPLY**

EXPRESS MAIL MAILING LABEL NO.: <u>EL698811965US</u>
DATE OF DEPOSIT: <u>11-12-01</u>
I, Christi Pingleton, hereby certify that this correspondence is being deposited on the date shown above with sufficient postage with the United States Postal Service as Express Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.
<u>Christi Pingleton</u> Signature
<u>11-12-01</u> Date

Commissioner of Patents  
Washington, D.C. 20231  
Attn: Initial Patent Examination Division

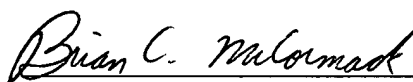
Dear Sir:

In response to the Notice of Incomplete Reply mailed October 15, 2001, Applicants respectfully state the following:

1. Applicants timely filed the Response to Notice to File Missing Parts of Application on September 25, 2001 enclosing formal drawings Figures 1-9.
2. Applicants' Response inadvertently omitted formal drawings Figures 10 and 11.
3. Applicants respectfully enclose formal drawings Figures 1-11.

The Notice to File Missing Parts of Application mailed June 25, 2001 required response by August 25, 2001. Applicants filed a Petition for Extension of Time under 37 CFR 1.136(a) requesting a one month extension of time along with the Response to Notice to File Missing Parts on September 25, 2001 but inadvertently omitted formal drawings Figures 10 and 11. Applicants made a *bona fide* attempt to provide a complete reply to the non-final Office action and to advance the application. Applicants respectfully request that a new time period for reply be submitted to supply the omission pursuant to 37 CFR 1.136(c). Applicants enclose formal drawings including Figures 10 and 11 inadvertently omitted.

Respectfully submitted,



Brian C. McCormack  
Reg. No. 36,601

ATTORNEY FOR APPLICANTS

Date: November 12, 2001  
BAKER & MCKENZIE  
2001 Ross Avenue, Suite 2300  
Dallas, TX 75201  
214/978-3000  
214/978-3099 fax